#### ORACLE

## Rolling Upgrades

Upgrade your DB with near Zero Downtime

#### Francisco Munoz Alvarez

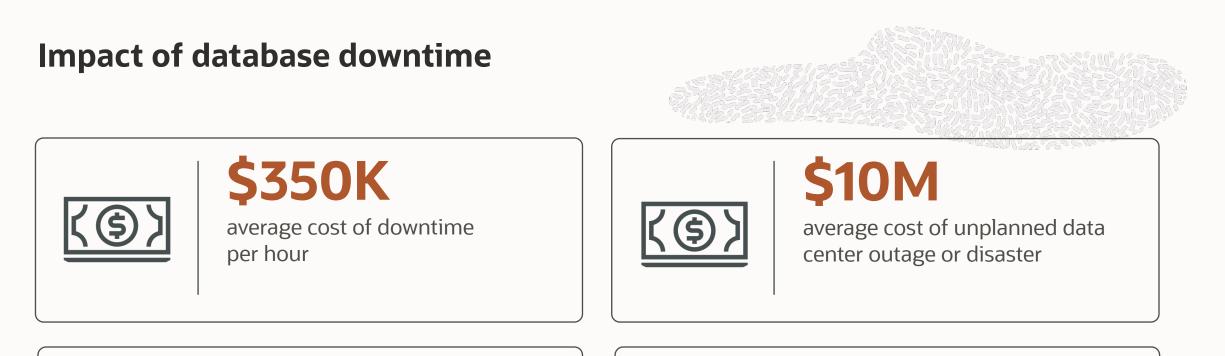
Distinguished Product Manager

Oracle Database High Availability (HA), Scalability and Maximum Availability Architecture (MAA) Team





## Oracle (Active) Data Guard & MAA





### 87 hours

average amount of downtime per year

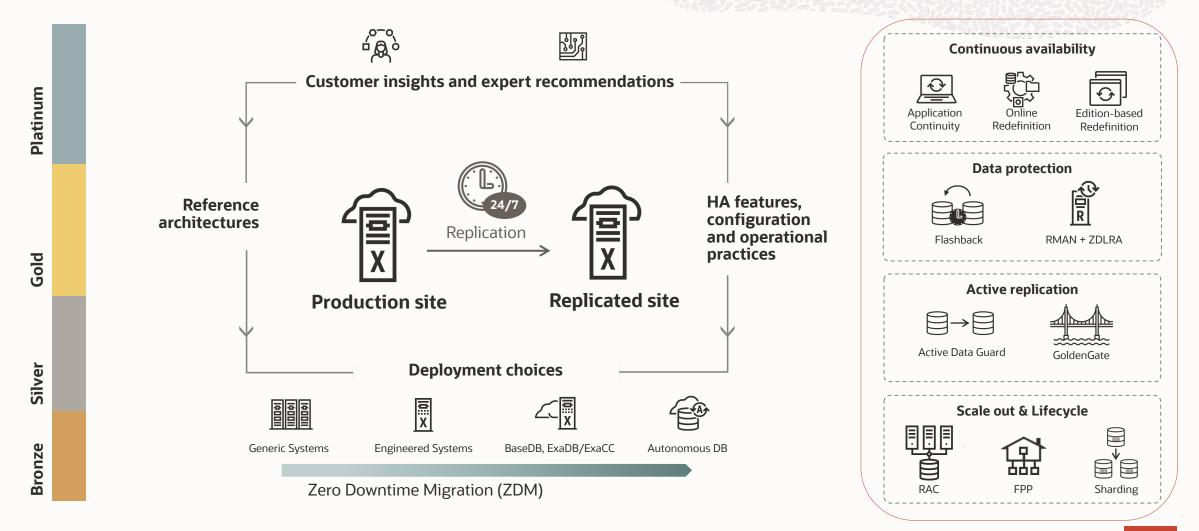


### 91%

percentage of companies that have experienced an unplanned data center outage in the last 24 months

### **Oracle Maximum Availability Architecture (MAA)**

Standardized Reference Architectures for Never-Down Deployments



### **MAA reference architectures**

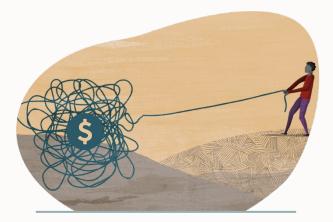
Availability service levels



Bronze	Silver	Gold	Platinum	
Dev, test, prod	Prod/departmental	Business critical	Mission critical	
	Bronze +	Silver +	Gold +	
Single instance DB	Database HA with RAC	DB replication with Active	GoldenGate	
Restartable	Application continuity Data Guard		Edition-based redefinition	
Backup/restore	Sharding (optional)			

All tiers exist with on-premises and cloud. However, platinum currently must be configured manually while bronze to gold are covered with some form of cloud automation depending on the desired MAA architecture (i.e., multiple standby databases still must be manually configured in cloud today)

### Challenges of deploying highly available systems



**Cost and complexity** 



Lack of skills

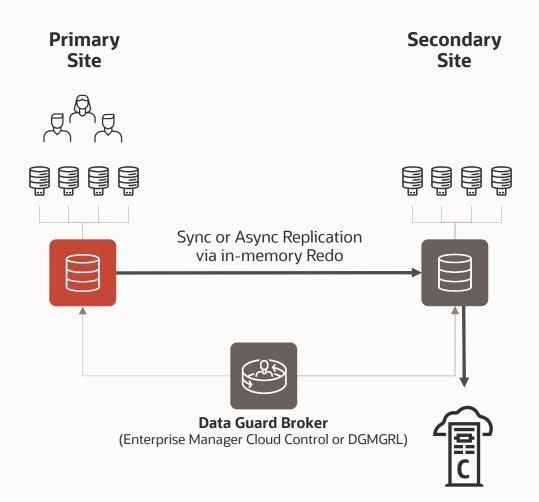


**Risk of failure** 



## Oracle Data Guard Overview

### **Oracle Data Guard (DG)**





- Basic DR (included with DB EE)
  - License primary and secondary sites
- Active-passive
  - Standby is used only for failovers
- Automatic failover to Standby site
- Zero / near-zero data loss
- Continuous data validation
- Simple migrations and upgrades

https://www.oracle.com/database/technologies/high-availability/dataguard-activedataguard-demos.html

### **Data Guard**

Capabilities Included with Oracle Database Enterprise Edition (EE)

**Data Protection** 

High Availability

#### Performance and ROI

Zero or sub-second data loss protection

Strong isolation using continuous Oracle validation

Lost-write detection

Universal support – all data types and applications Comprehensive monitoring with

Enterprise Manager

Automatic database failover

Automatic client failover

Standby-first patch apply

Database rolling maintenance

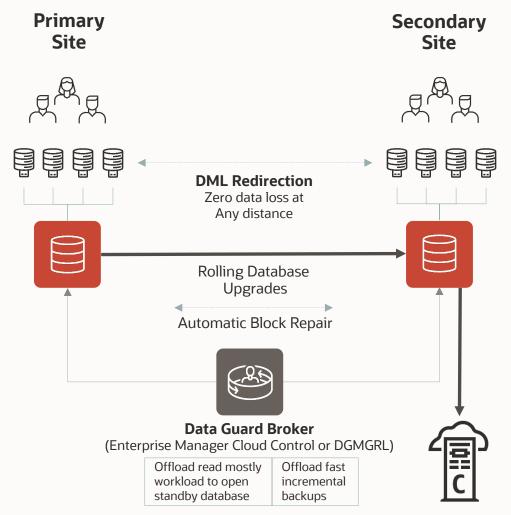
Select platform migrations

Extreme throughput - supports all workloads

Dual-purpose standby for development and test

Integrated management

### **Oracle Active Data Guard (ADG)**



Advanced Disaster Recovery

#### Active-active\*

- Queries, reports, backups
- Occasional updates (19c)
- Assurance of knowing system is operational
- Automatic block repair
- Application Continuity
- Zero data loss across any distance
- Many other features

https://www.oracle.com/database/technologies/high-availability/dataguard-activedataguard-demos.html

ADG

### **Active Data Guard**

Option of Oracle Database for Advanced Capabilities and Protection

**Data Protection** 

High Availability

#### Performance and ROI

Zero data loss at any distance

Real-time cascade

Automatic Block Repair

Automatic block repair

Automated rolling database maintenance

Application continuity

Service management for replicated databases

Rolling Upgrade

Extreme throughput - supports all workloads

Dual-purpose standby for development and test

Integrated management

Offload network compression

Intelligent load balancing for replicated databases

Active Standby DML redirection

11 Copyright © 2022, Oracle and/or its affiliates

ADG

### **Oracle Active Data Guard**

Actively protecting data for the future both on-premises and in the cloud

- Active Data Guard Real-Time Cascade
- Fast Sync
- Broker for Cascaded Standby Databases
- Resumable Switchover Operations
- Rolling Upgrade Using Active Data Guard
- Single Command Role Transitions
- Data Guard Broker PDB Migration or Failover
- Multi-Instance Redo Apply
- Zero Data Loss at any distance Far Sync
- Protection During Database Rolling Upgrade
- Password Files Synchronization
- Oracle Database In-Memory on Oracle Active Data Guard
- Preserving Application Connections During Role Changes
- Application Continuity (ADG or RAC)



- Configurable Real-Time Query Apply Lag Limit
- Integrated Support for Application Failover
- SPA Support for Active Data Guard Environment
- Support Up to 30 Standby Databases

- Updates on ADG (DML Redirect)
- Finer granularity Supplemental Logging
- Flashback Standby when Primary database is flashed back
- In-Memory Column Store on Multi-Instance Redo apply
- Observe only mode for FSFO

**18**c

at a Data Guard Standby Database

RMAN recover standby simplification

AWR reports for the standby workload

Transparent Application Continuity

Shadow Lost Write Protection

Automatic Correction of Non-logged Blocks

• Propagate Restore Points from Primary to Standby site

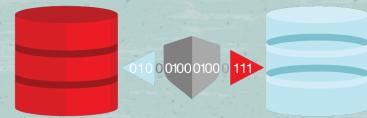
**19c** 

- Simplified Database Parameter Management
- Dynamically Change FSFO target

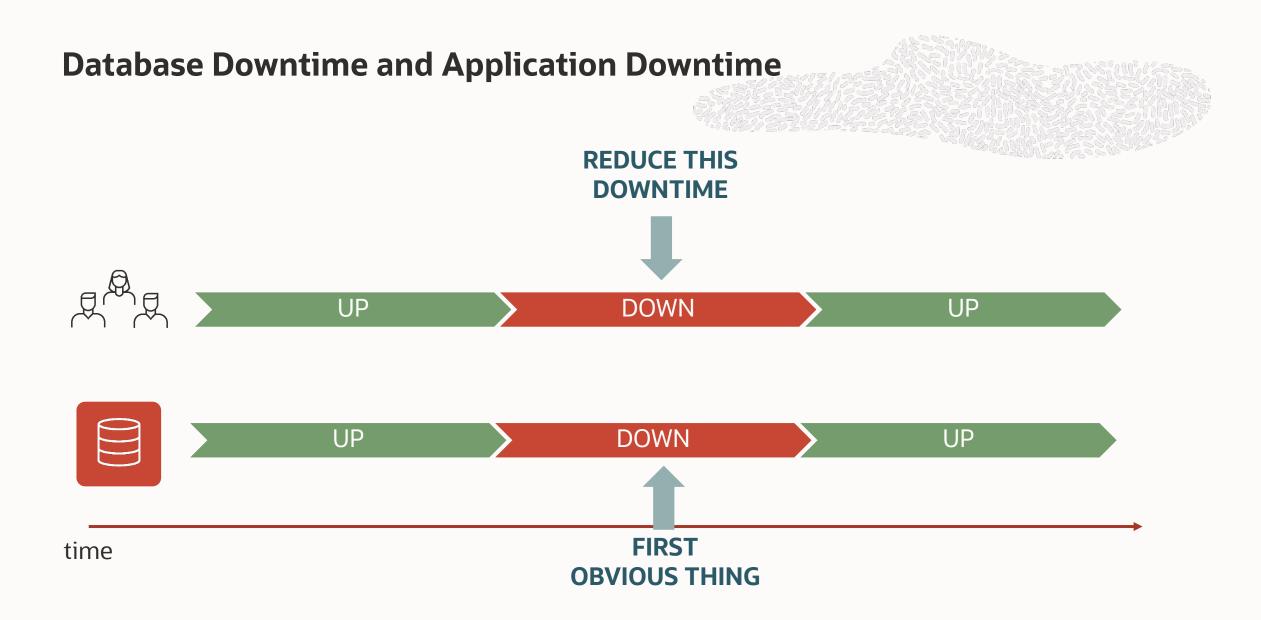
Data Guard per Pluggable Database

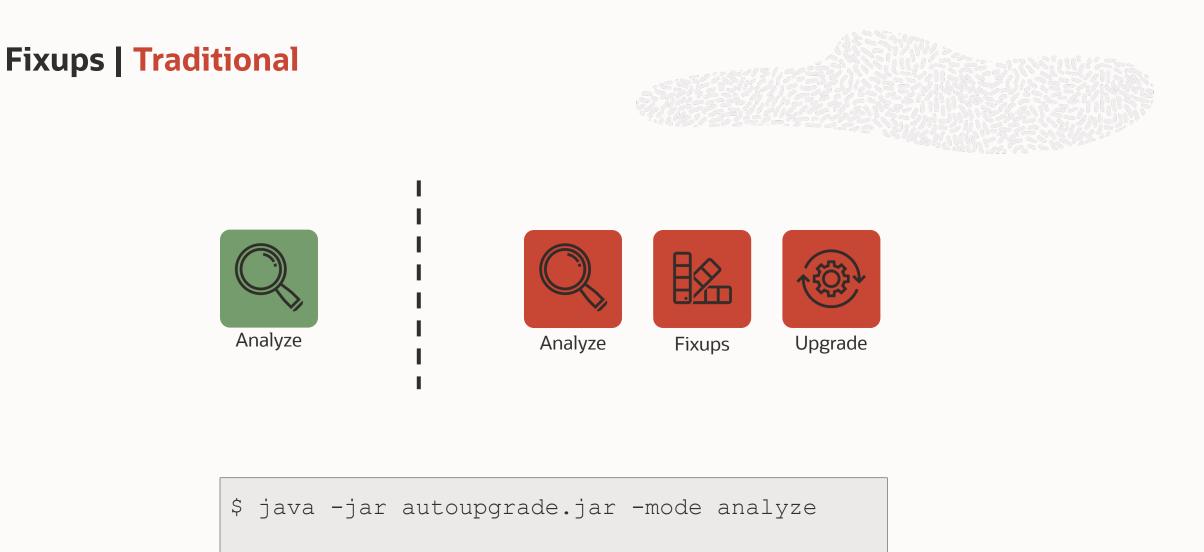
**21c** 

- Standby Result Cache preservation
- Fast Start Failover Configuration Validation & Call Outs
- Data Guard Broker Client Side Standardized Directory Structure
- Data Guard Broker Far Sync Instance Creation
- Fast Start Failover Lag Allowance in Max Availability Mode
- FarSync for Max Performance Mode
- PDB recovery isolation



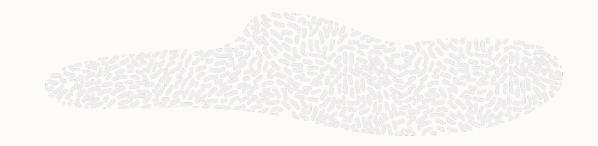
## Oracle Active Data Guard Rolling Maintenance and Upgrades





```
$ java -jar autoupgrade.jar -mode deploy
```

## Fixups | Fast Deploy

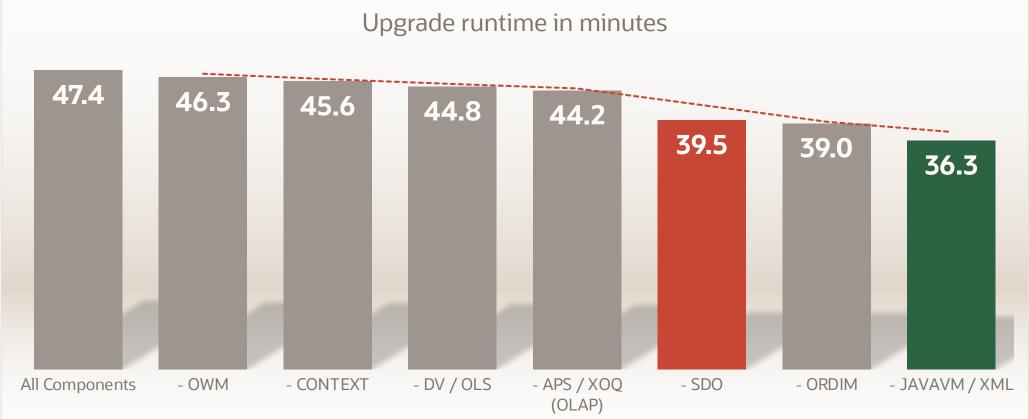




```
$ java -jar autoupgrade.jar -mode analyze
$ java -jar autoupgrade.jar -mode fixups
$ java -jar autoupgrade.jar -mode upgrade
```

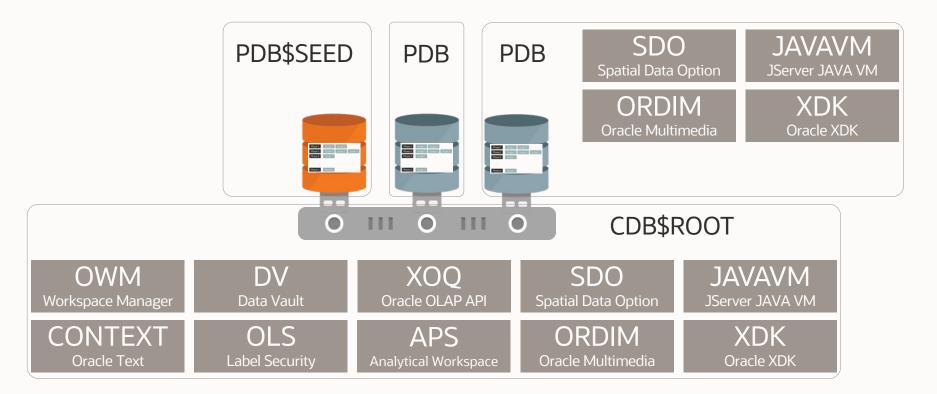
### **Components | Impact**





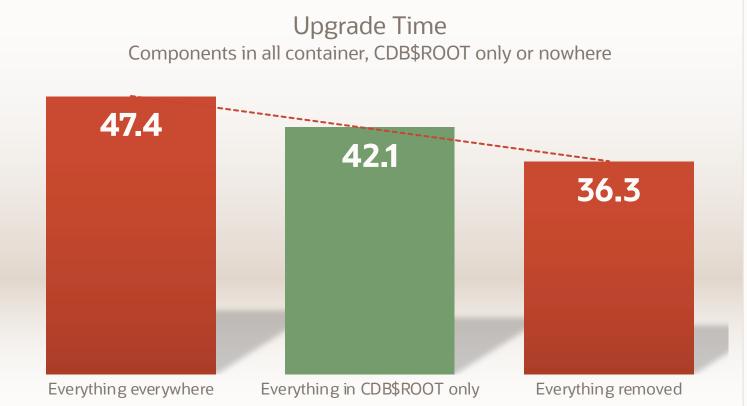
### **Components | CDB\$ROOT vs PDB**

This may be a solution



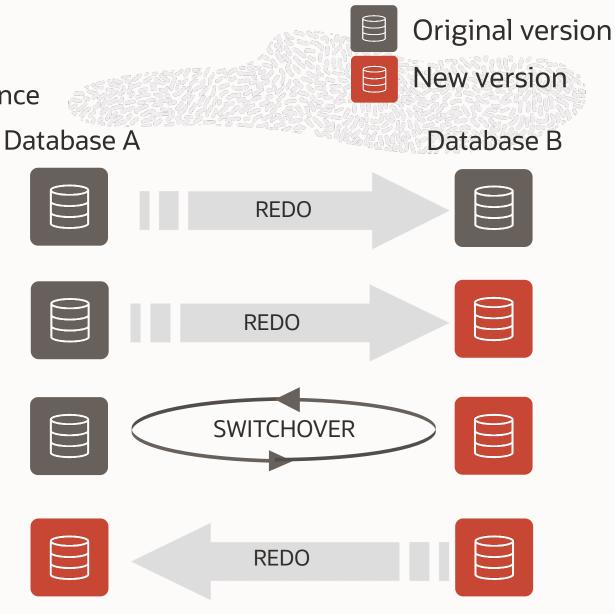
### **Components | Compromise**





Rolling Upgrade and Application Downtime									
g A g		UP		UP	é	UP	UP		
		UP		DOWN		UP	UP		
		UP		UP		DOWN	UP		
						DOWN			

time



### **Standby-First Patch Apply**

General Process for Database Rolling Maintenance

Install new version in separate Oracle homes and defer transport

Patch or perform other maintenance on B then synchronize with production

Switch production to B, outage limited to the time needed to switch roles

Upgrade A via redo stream and synchronize

Oracle Patch Assurance - Data Guard Standby-First Patch Apply (Doc ID 1265700.1)

### **Solutions for Database Rolling Maintenance and Upgrades**

Manual	DBMS_ROLLING	GoldenGate
Part of Enterprise Edition	Requires Active Data Guard	Requires GoldenGate
Source >= 11.1.0.7	Source >= 12.1.0.2	Source >= 11.2.0.4 (for OCI GG)
Manual approach	Automated	Manual approach
Limited feature support	Comprehensive feature support	Best feature support
		Fallback mechanism

Using SQL Apply to Upgrade the Oracle Database <a href="https://docs.oracle.com/en/database/oracle/oracle-database/19/sbydb/using-sql-apply-to-perform-rolling-upgrade.html">https://docs.oracle.com/en/database/oracle/oracle-database/19/sbydb/using-sql-apply-to-perform-rolling-upgrade.html</a>

Using DBMS\_ROLLING to Perform a Rolling Upgrade https://docs.oracle.com/en/database/oracle/oracle-database/19/sbydb/using-DBMS\_ROLLING-to-perform-rolling-upgrade.html

Overview of Steps for Upgrading Oracle Database Using Oracle GoldenGate <a href="https://docs.oracle.com/en/database/oracle/oracle-database/19/upgrd/converting-databases-upgrades.html#GUID-8E029631-8265-497C-983B-B8A4ACD47B98">https://docs.oracle.com/en/database/oracle/oracle-database/19/upgrd/converting-databases-upgrades.html#GUID-8E029631-8265-497C-983B-B8A4ACD47B98</a>

### Rolling Upgrade | DBMS\_ROLLING





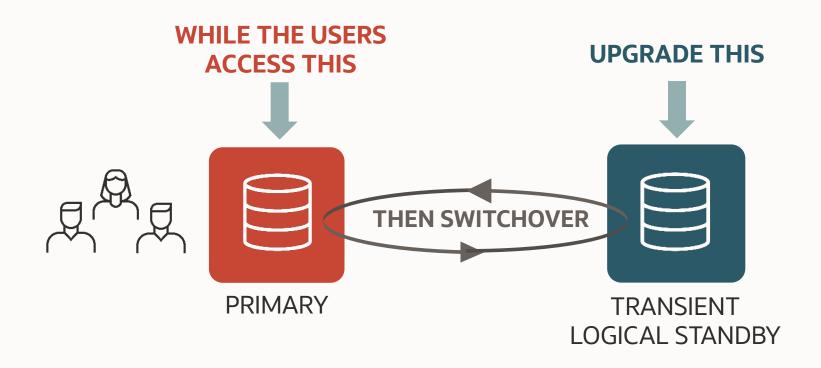
Use a logical standby database to upgrade with very little downtime.

The only downtime is as little as it takes to perform a switchover.

Pro tip: Also useful for other maintenance activities

### **Active Data Guard Rolling Maintenance and Upgrades**

Using DBMS\_ROLLING package



- Use a transient logical standby database to upgrade with very little downtime.
- The only downtime is as little as it takes to perform a switchover.

ADG

### **DBMS\_ROLLING** points of attention



Do not create the logical standby on the same server as the primary database

Supplemental logging is enabled automatically which introduces an overhead and increases the amount of redo generated



When supplemental logging is enabled all DML cursors are invalidated



Not all data types and partitioning types are supported



For optimal performance all tables should have primary keys or unique keys

### Important DBMS\_ROLLING milestones

The driver is the SOURCE database!





• First version of DBMS\_ROLLING for upgrades from 12.1 to higher versions

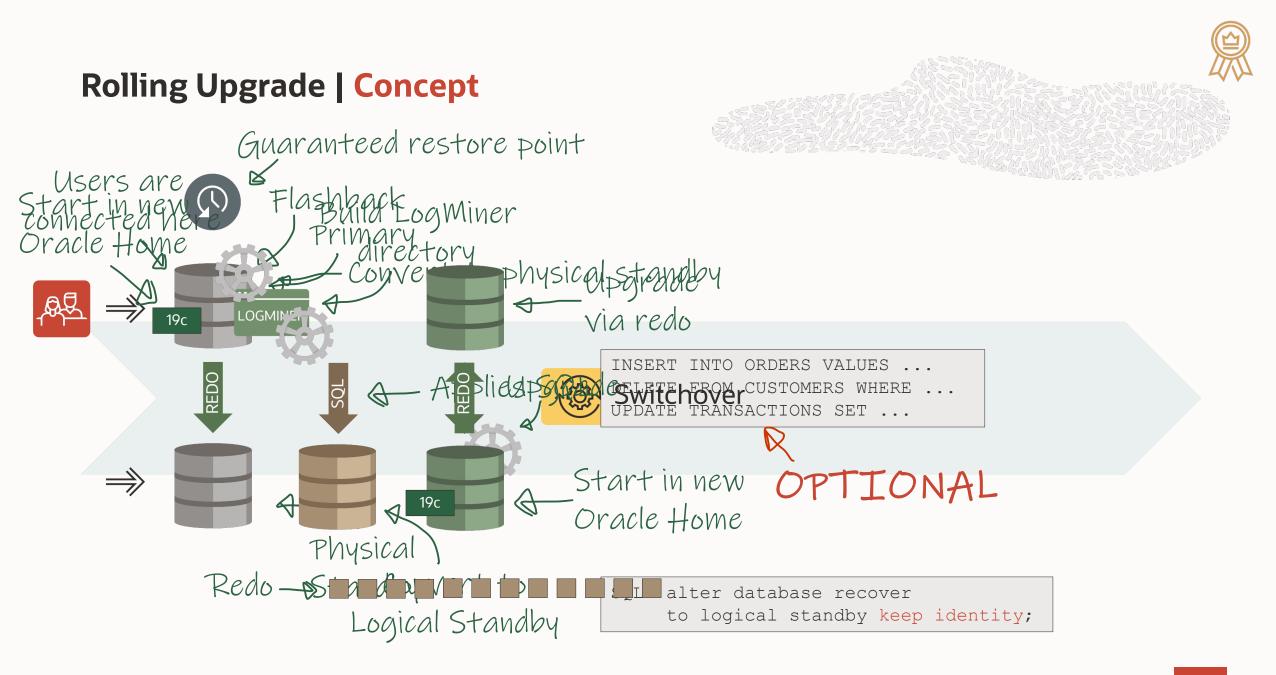
- Integration with the Data Guard broker
- Services, roles changes, and instances are managed automatically by Clusterware
- FAN events for Clusterware-backed databases
- Support for Identity columns
- FAN events without Clusterware
- Support for JSON datatype

### DBMS\_ROLLING and client failover



DBMS_ROLLING.SWITCHOVER	Broker + OCW	Broker Only
12.1	Broker Not supported	Broker Not supported
12.2	FAN events	No FAN events
19c	FAN events	No FAN events
21c	FAN events	FAN events

AC/TAC support is in the roadmap



### Rolling Upgrade | DBMS\_ROLLING

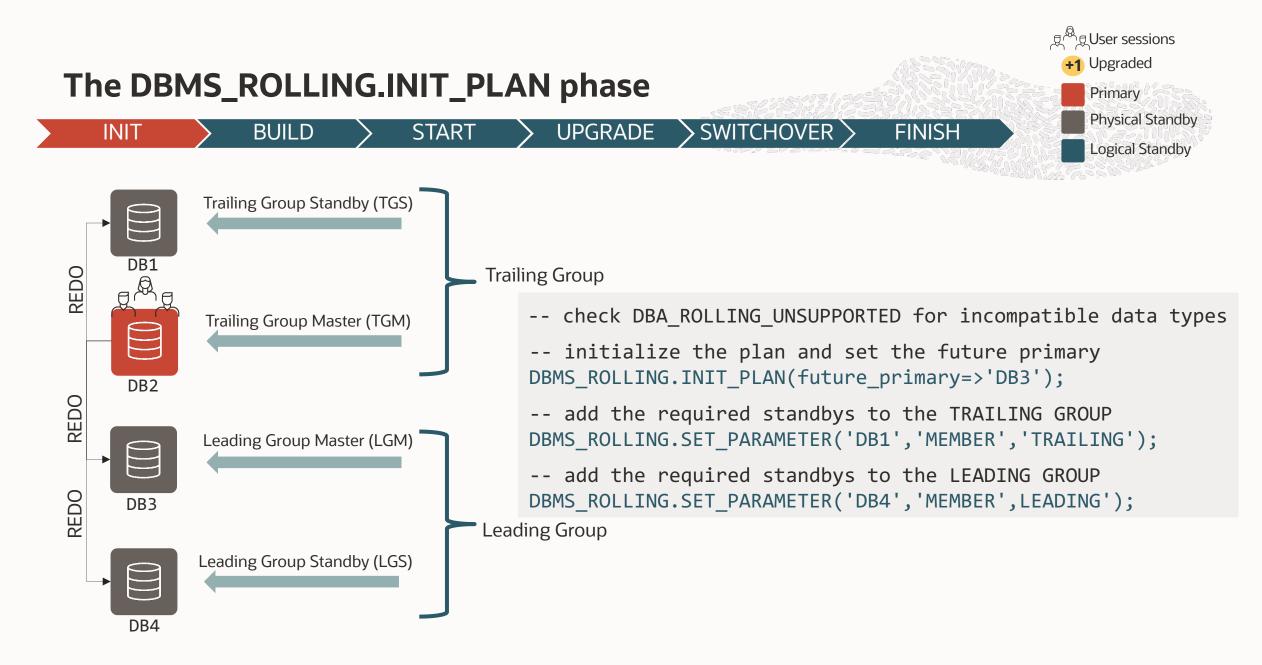


SQL> exec dbms\_rolling.init\_plan; SQL> exec dbms\_rolling.build\_plan; SQL> exec dbms\_rolling.start\_plan;

## **6 SIMPLE STEPS**

Upgrade database

SQL> exec dbms\_rolling.switchover; SQL> exec dbms\_rolling.finish\_plan;



### The DBMS\_ROLLING parameters

BUILD > START > UPGRADE > SWITCHOVER >

ACTIVE SESSIONS TIMEOUT ACTIVE SESSIONS WAIT BACKUP\_CONTROLFILE DGBROKER DICTIONARY LOAD TIMEOUT DICTIONARY\_LOAD\_WAIT DICTIONARY PLS WAIT INIT DICTIONARY\_PLS\_WAIT\_TIMEOUT EVENT RECORDS FAILOVER GRP PREFIX IGNORE\_BUILD\_WARNINGS IGNORE\_LAST\_ERROR LAD\_ENABLED\_TIMEOUT LOG LEVEL

MFMBFR READY LGM LAG TIME READY LGM LAG TIMEOUT READY\_LGM\_LAG\_WAIT SWITCH LGM LAG TIME SWITCH\_LGM\_LAG\_TIMEOUT SWITCH\_LGM\_LAG\_WAIT SWITCH\_LGS\_LAG\_TIME SWITCH LGS LAG TIMEOUT SWITCH LGS LAG WAIT UPDATED LGS TIMEOUT UPDATED\_LGS\_WAIT UPDATED TGS TIMEOUT UPDATED\_TGS\_WAIT

**FINISH** 

INIT



-- Activate full logging exec DBMS\_ROLLING.SET\_PARAMETER (scope=>null, name=>'LOG\_LEVEL', value=>'FULL');

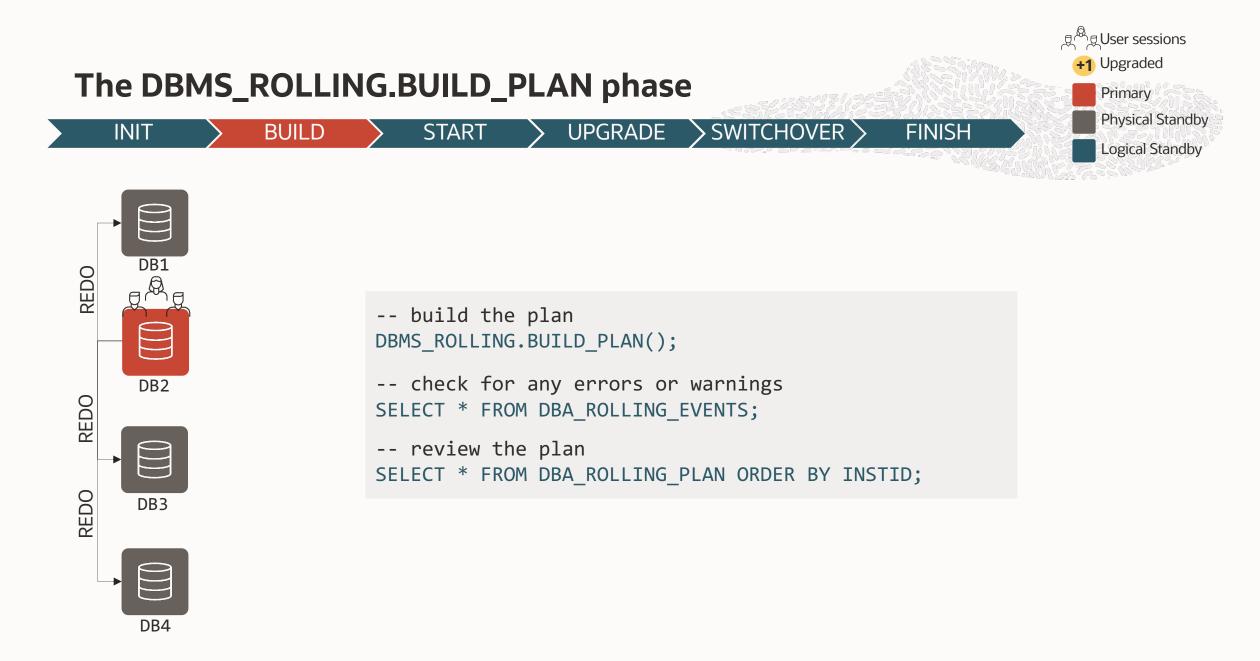
-- Wait for the SQL Apply Lag to go below 1 minute before initiating the switchover exec DBMS\_ROLLING.SET\_PARAMETER('SWITCH\_LGM\_LAG\_WAIT', '1'); exec DBMS\_ROLLING.SET\_PARAMETER('SWITCH\_LGM\_LAG\_TIME', '60');



\$ # The standby must be mounted \$ srvctl stop database -d DB3 \$ srvctl start database -d DB3 -o mount

SQL> -- The PDBs must be open
SQL> alter pluggable database all open;

DGMGRL> # no FSFO or MaxProtection DGMGRL> disable fast\_start failover DGMGRL> edit configuration set protection mode as MaxAvailability;



### Rolling Upgrade | DBMS\_ROLLING

Get current redo branch of the primary database Wait until recovery is active on the primary's redo branch Reduce to a single instance if database is a RAC Verify only a single instance is active if future primary is RAC Stop media recovery Execute dbms\_logstdby.build Convert into a transient logical standby Open database including instance-peers if RAC Verify logical standby is open read/write Get redo branch of transient logical standby Get reset scn of transient logical redo branch Configure logical standby parameters

# **86+ INSTRUCTIONS OR CHECKS**

Start logical standby apply Wait until apply lag has fallen below 600 seconds Notify Data Guard broker that switchover to logical standby database is starting Log post-switchover instructions to events table Switch database to a logical standby Notify Data Guard broker that switchover to logical standby database has completed Wait until end-of-redo has been applied ...

### The DBMS\_ROLLING.BUILD\_PLAN phase

44 START

45 START

46 SWITCH

47 SWITCH

**48 SWITCH** 

**49 SWITCH** 

50 SWITCH

51 SWITCH

52 SWITCH

53 SWITCH

54 SWITCH

55 SWITCH

56 SWITCH

57 SWITCH

58 SWITCH

59 SWITCH

60 SWTTCH

61 SWITCH

62 SWITCH

63 SWITCH

64 SWITCH

65 SWITCH

66 SWITCH

67 FINTSH

68 FINISH

69 FINISH

70 FINISH

71 FINISH

72 FINISH

73 FINISH

74 FINISH

75 FINISH

76 FINISH

77 FINISH

78 FINISH

**79 FINISH** 

80 FINISH

81 FINISH

82 FINISH

83 FINISH

84 FINISH

**85 FINISH** 

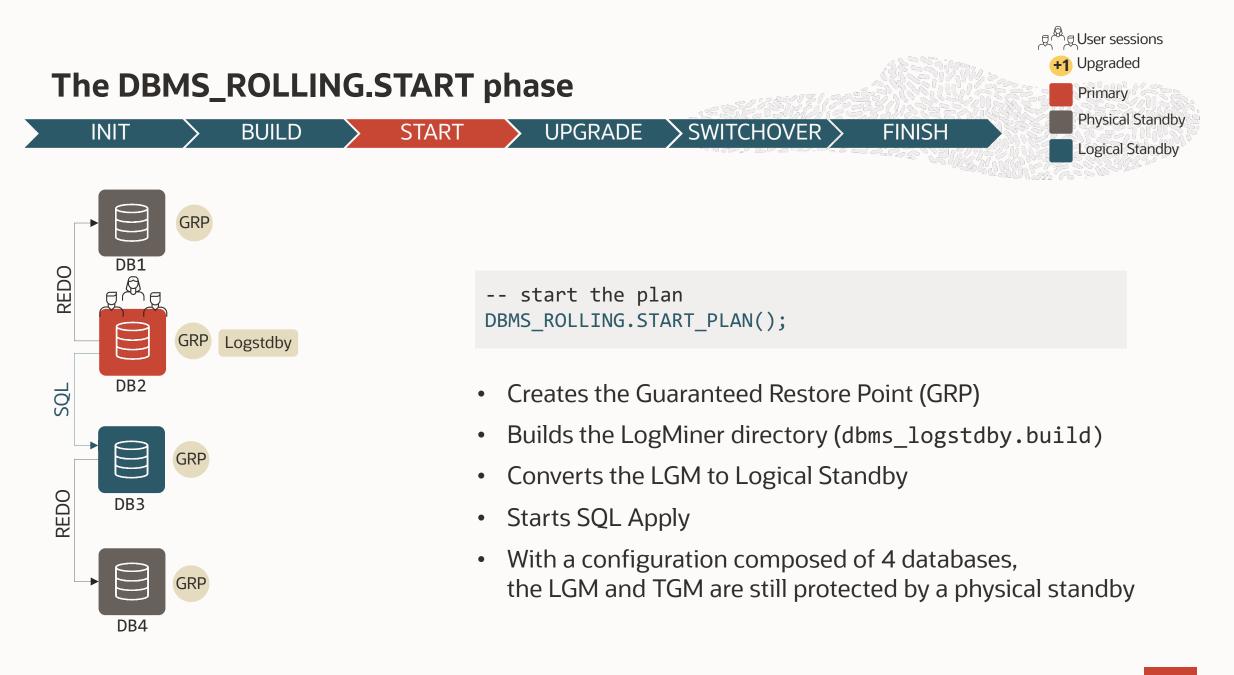
**86 FINISH** 



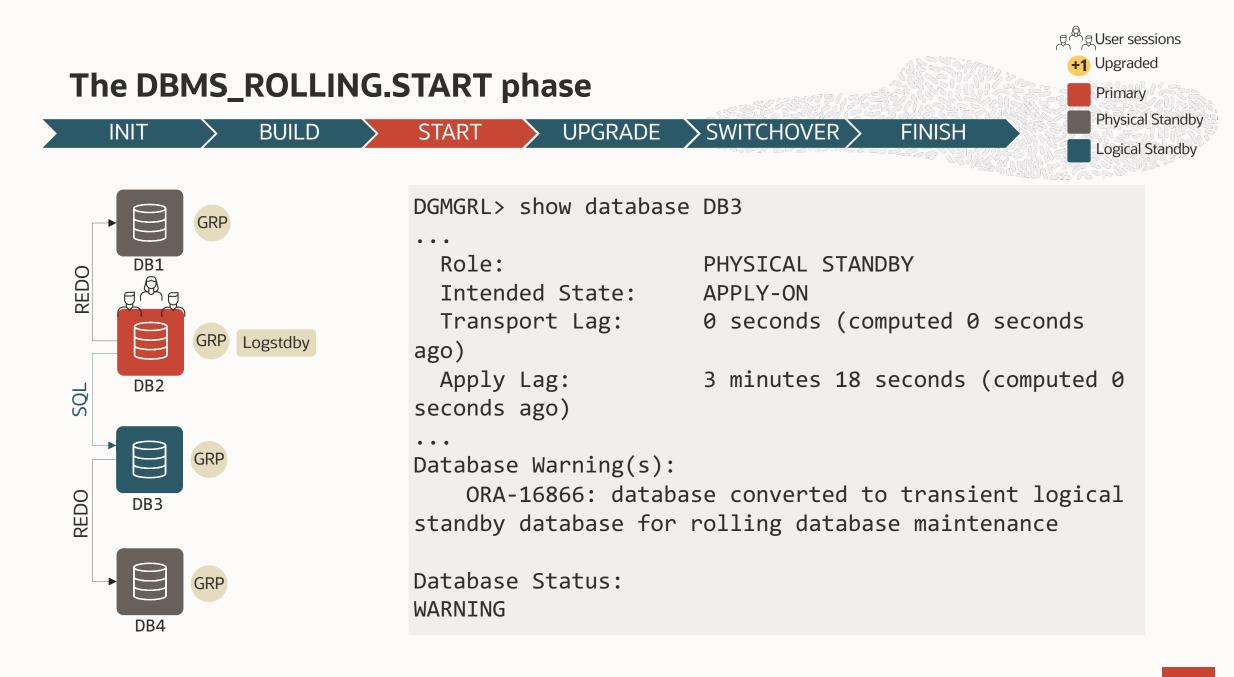
ADG

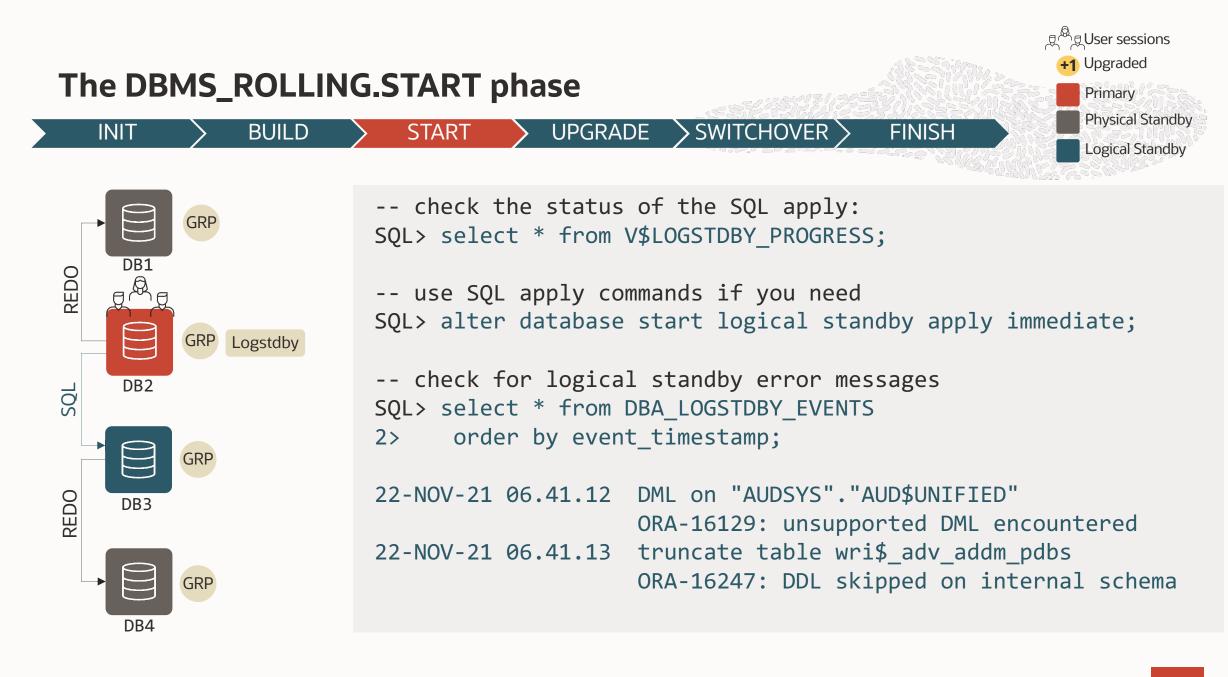
1 START Notify Data Guard broker that DBMS ROLLING has started 2 START Notify Data Guard broker that DBMS ROLLING has started 3 START Verify database is a primary Verify MAXIMUM PROTECTION is disabled 4 START 5 START Verify database is a physical standby 6 START Verify physical standby is mounted 7 START Verify future primary is configured with standby redo logs 8 START Verify server parameter file exists and is modifiable 9 START Verify server parameter file exists and is modifiable Verify Data Guard broker configuartion is enabled 10 START 11 START Verify Data Guard broker configuartion is enabled Verify Fast-Start Failover is disabled 12 START Verify Fast-Start Failover is disabled 13 START 14 START Verify fast recovery area is configured 15 START Verify available flashback restore points 16 START Verify fast recovery area is configured 17 START Verify available flashback restore points 18 START Stop media recovery Drop guaranteed restore point DBMSRU INITIAL 19 START 20 START Create guaranteed restore point DBMSRU INITIAL 21 START Drop guaranteed restore point DBMSRU INITIAL 22 START Create guaranteed restore point DBMSRU INITIAL 23 START Start media recovery 24 START Verify media recovery is running Verify user\_dump\_dest has been specified 25 START 26 START Backup control file to rolling change backup.f 27 START Verify user dump dest has been specified 28 START Backup control file to rolling change backup.f 29 START Get current supplemental logging on the primary database 30 START Get current redo branch of the primary database 31 START Wait until recovery is active on the primary's redo branch 32 START Reduce to a single instance if database is a RAC 33 START Verify only a single instance is active if future primary is RAC 34 START Stop media recovery 35 START Execute dbms logstdby.build 36 START Convert into a transient logical standby 37 START Open database including instance-peers if RAC 38 START Verify logical standby is open read/write 39 START Get redo branch of transient logical standby 40 START Get reset scn of transient logical redo branch 41 START Configure logical standby parameters 42 START Start logical standby apply Enable compatibility advance despite presence of GRPs 43 START



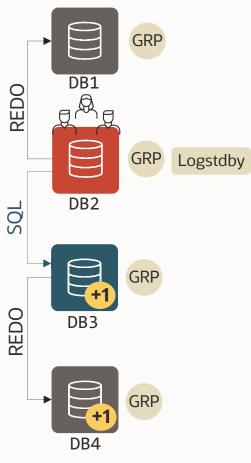


The DB	MS_	ROLLII	NG.START phase	ਰ੍ਹ <sup>©</sup> ਰ੍ਰUser sessions +1 Upgraded Primary
INIT		BUILD	START UPGRADE SWITCHOVER FINISH	Physical Standby
	GRP		DGMGRL> show configuration;	
DB1			Configuration - geneva	
TOS DB2	GRP	Logstdby	Protection Mode: MaxAvailability Members: DB1 - Primary database	
	GRP		DB3 - Physical standby database Warning: ORA-16854: apply lag could not determined	be
DB3			Fast-Start Failover: DISABLED	
DB4	GRP		Configuration Status: ROLLING DATABASE MAINTENANCE IN PROGRESS	









• Do the maintenance on the Leading Group Master

-- e.g. upgrade to a major version with AutoUpgrade \$ java -jar autoupgrade.jar -config CDB1.cfg -mode deploy

- This is out of DBMS\_ROLLING scope (it is a manual step)
- Don't forget to align the Leading Group Standbys if necessary
- Use it for any major maintenance that requires longer downtimes (change of physical layout, structure changes, offline operations)



-- switchover to the upgraded database
DBMS\_ROLLING.SWITCHOVER()

- Depending on the source version and HA configuration, the old connections get FAN notifications and drain automatically
- New connections go to the new primary. Application downtime is minimal.

GRP

GRP

GRP

Logstdby

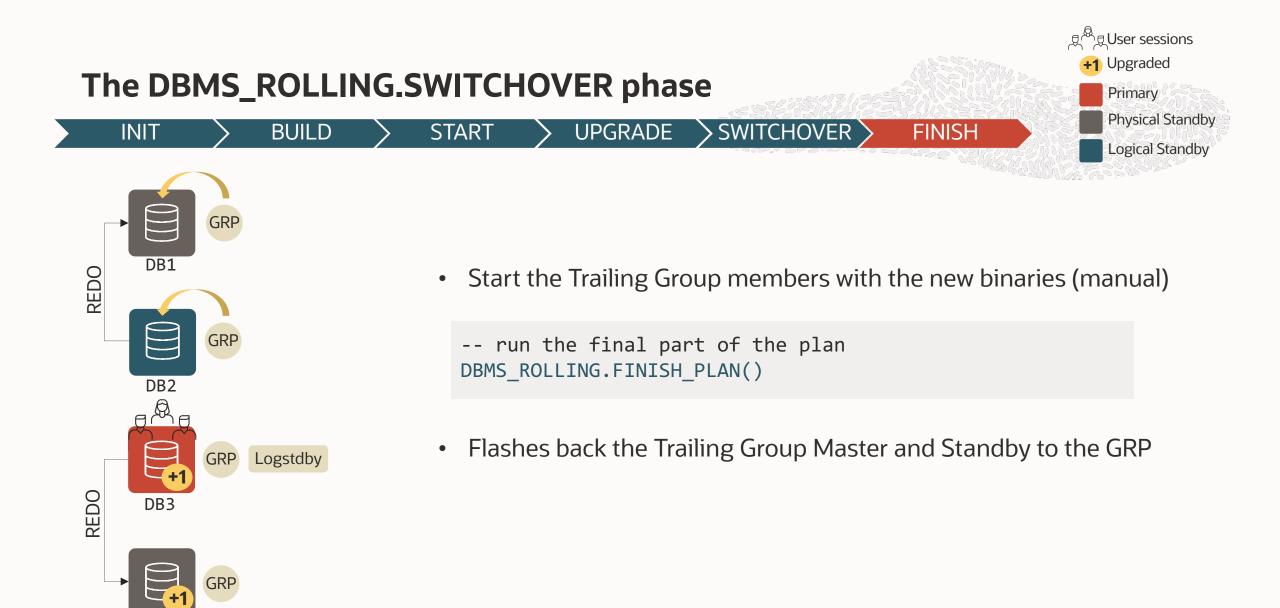
DB2

DB3

DB4

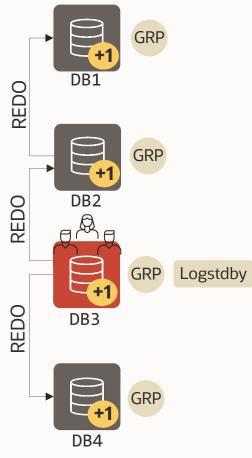
REDO

REDO



DB4





• Start the Trailing Group members with the new binaries (manual)

-- run the final part of the plan
DBMS\_ROLLING.FINISH\_PLAN()

- Flashes back the Trailing Group Master and Standby to the GRP
- Converts the Trailing Group Master to a physical standby
- Starts redo apply and catches up with the primary
- Drops the guaranteed restore points and logical standby metadata



#### Rolling Upgrade | DBMS\_ROLLING



SQL> exec dbms\_rolling.init\_plan; SQL> exec dbms\_rolling.build\_plan; SQL> exec dbms\_rolling.start\_plan;

## **6 SIMPLE STEPS**

Upgrade database

SQL> exec dbms\_rolling.switchover; SQL> exec dbms\_rolling.finish\_plan;

#### **DBMS\_ROLLING** catalog views



Evaluate	DBA_ROLLING_UNSUPPORTED
Initialize	DBA_ROLLING_PARAMETERS
Build	DBA_ROLLING_DATABASES DBA_ROLLING_PLAN
Monitor	DBA_ROLLING_EVENTS DBA_ROLLING_STATISTICS DBA_ROLLING_STATUS

Check here for unsupported data types! Get the current parameters before building

Verify the plan before and during the execution

Warning and errors are visible here

## **DBMS\_ROLLING – Read More**



Using DBMS\_ROLLING to Perform a Rolling Upgrade <u>https://docs.oracle.com/en/database/oracle/oracle-database/19/sbydb/using-DBMS\_ROLLING-to-perform-rolling-upgrade.html</u>

DBMS\_ROLLING - PL/SQL Packages and Types Reference <u>https://docs.oracle.com/en/database/oracle/oracle-database/19/arpls/DBMS\_ROLLING.html#GUID-097F1B39-</u> <u>E623-43B5-BA30-DF377BFE05CF</u>

Automated Database Upgrades using Oracle Active Data Guard and DBMS\_ROLLING <a href="https://www.oracle.com/technetwork/database/availability/database-upgrade-dbms-rolling-4126957.pdf">https://www.oracle.com/technetwork/database/availability/database-upgrade-dbms-rolling-4126957.pdf</a>

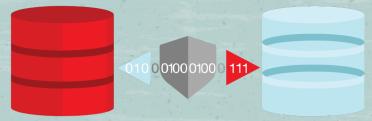
Oracle Database Rolling Upgrades (without DBMS\_ROLLING) https://www.oracle.com/technetwork/database/availability/database-rolling-upgrade-3206539.pdf

## DBMS\_ROLLING – Read More



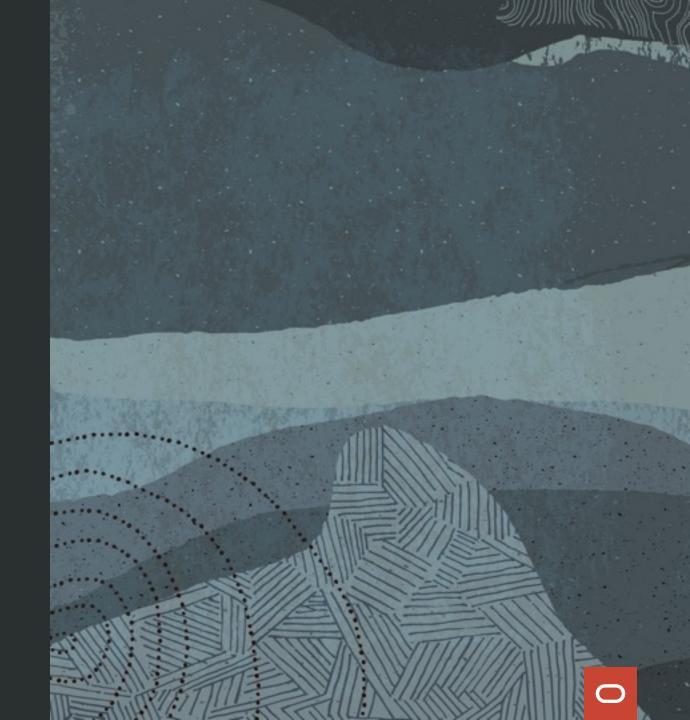
MOS Notes:

- <u>Transient Rolling Upgrade Using DBMS\_ROLLING Beginners Guide</u>
- Rolling upgrade using DBMS\_ROLLING Complete Reference (Doc ID 2086512.1)
- MAA Whitepaper: SQL Apply Best Practices (Doc ID 1672310.1)
- Step by Step How to Do Swithcover/Failover on Logical Standby Environment (Doc ID 2535950.1)
- How To Skip A Complete Schema From Application on Logical Standby Database (Doc ID 741325.1)
- How to monitor the progress of the logical standby (Doc ID 1296954.1)
- How To Reduce The Performance Impact Of LogMiner Usage On A Production Database (Doc ID 1629300.1)
- Handling ORA-1403 ora-12801 on logical standby apply (Doc ID 1178284.1)
- Troubleshooting Example Rolling Upgrade using DBMS\_ROLLING (Doc ID 2535940.1)
- DBMS Rolling Upgrade Switchover Fails with ORA-45427: Logical Standby Redo Apply Process Was Not Running (Doc ID 2696017.1)
- <u>SRDC Collect Logical Standby Database Information (Doc ID 1910065.1)</u>
- MRP fails with ORA-19906 after Flashback of Transient Logical Standby used for Rolling Upgrade (Doc ID 2069325.1)
- What Causes High Redo When Supplemental Logging is Enabled (Doc ID 1349037.1)



## Questions & Answers

# Thank you



#### ORACLE

# Rolling Upgrades

Upgrade your DB with near Zero Downtime

#### Francisco Munoz Alvarez

Distinguished Product Manager

Oracle Database High Availability (HA), Scalability and Maximum Availability Architecture (MAA) Team



